

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 05/21/2012
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15K072		(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 03/05/2012	
NAME OF PROVIDER OR SUPPLIER ALPHA CARE SERVICES INC				STREET ADDRESS, CITY, STATE, ZIP CODE 5410 W WASHINGTON ST INDIANAPOLIS, IN 46241			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)			ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
G 000	<p>INITIAL COMMENTS</p> <p>This visit was for a federal home health complaint investigation.</p> <p>Complaints: IN00103937 - Substantiated- Federal deficiencies related to the allegations are cited.</p> <p>Survey Date: March 5, 2012</p> <p>Medicaid #: 201028360</p> <p>Facility #: 012539</p> <p>Surveyors: Linda Dubak, R.N. Public Health Nurse Surveyor</p> <p>Tonya Tucker, R.N. Public Health Nurse Surveyor</p> <p>Quality Review: Joyce Elder, MSN, BSN, RN March 9, 2012</p>			G 000			
G 129	<p>Census 0</p> <p>484.14(b) GOVERNING BODY</p> <p>The governing body appoints a qualified administrator.</p> <p>This STANDARD is not met as evidenced by: Based on interview and review of Indiana State Department of Health (ISDH) documentation, the agency failed to ensure there was an administrator for 1 of 1 agency with the potential to affect all the functioning of the agency.</p> <p>Findings include:</p>			G 129			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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G 129	Continued From page 1			G 129			
	<p>1. On 3-5-12 at 10:45 AM, the agency's owner indicated the former administrator / director of nursing resigned in October 2011 and the alternate director of nursing, employee B, was then promoted to the director of nursing position. He indicated he had attempted several times to employ a qualified person for this position. He had been in contact with Job One in an attempt to fill the position. He had not received much feedback from Job One. He was using word of mouth but was not otherwise actively seeking to fill the positions.</p> <p>2. ISDH documentation evidenced the agency was issued a full license on 09/01/11. On 2/21/12 at 10:15 AM, Employee B arrived at ISDH to hand deliver notice of her resignation as Administrator and Director of Nursing of Alpha Care.</p>						
G 137	<p>484.14(c) ADMINISTRATOR</p> <p>A qualified person is authorized in writing to act in the absence of the administrator.</p> <p>This STANDARD is not met as evidenced by: Based on interview and review of Indiana State Department of Health (ISDH) documentation, the agency failed to ensure there was an alternate administrator for 1 of 1 agency with the potential to affect all the functioning of the agency.</p> <p>Findings include:</p> <p>1. On 3-5-12 at 10: 45 AM, the owner of the agency indicated he currently does not have alternate administrator. He had been in contact</p>			G 137			

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G 137	<p>Continued From page 2</p> <p>with Job One in an attempt to fill the positions. He had not received much feedback from Job One. He was using word of mouth, but is not otherwise actively seeking to fill the positions. The former administrator / director of nursing resigned in October 2011 and the alternate director of nursing, employee B, was then promoted to the director of nursing position.</p> <p>2. ISDH documentation evidenced the agency was issued a full license on 09/01/11.</p> <p>A. On 10/19/11, the facility informed the ISDH they were searching for replacement of Alternate Administrator.</p> <p>B. On 2/13/12 at 10:15 AM, Employee B called ISDH as the result of a request to call ISDH. When questioned whether the agency had an Alternate Administrator in place, she indicated she could not answer as the owner would have to answer. She indicated the owner does all of the marketing and is involved in the decision making of the agency.</p> <p>C. On 2/17/12 at 11:30 AM, Employee B called and wanted to follow up to make sure ISDH received information marketing the position of Alternate Administrator. She was informed that ISDH received information regarding Person B as the new alternate administrator. She indicated she had never met an individual by that name. The agency currently had no alternate.</p> <p>D. On 2/21/12 at 10:15 AM, Employee B arrived at ISDH to hand deliver notice of her resignation as Administrator and DON of Alpha</p>			G 137			

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G 137	Continued From page 3			G 137			
G 138	<p>Care. She reiterated she was unaware of and did not appoint the alternate administrator that was sent in to ISDH.</p> <p>484.14(d) SUPERVISING PHYSICIAN OR REGIS. NURSE</p> <p>The skilled nursing and other therapeutic services furnished are under the supervision and direction of a physician or a registered nurse (who preferably has at least 1 year of nursing experience and is a public health nurse).</p> <p>This STANDARD is not met as evidenced by: Based on interview and review of Indiana State Department of Health (ISDH) documentation, the agency failed to ensure there was an director of nursing for 1 of 1 agency with the potential to affect all the functioning of the agency.</p> <p>Findings include:</p> <p>1. On 3-5-12 at 10:45 AM, the owner of the agency indicated he does not have a director of nursing (DON). The former administrator / director of nursing resigned in October 2011 and the alternate director of nursing, employee B, was then promoted to the director of nursing position. He had been in the hospital for the past 2 weeks and when he got out he went to the agency and found this person's resignation had been faxed to him on February 17.</p> <p>2. ISDH documentation evidenced the agency was issued a full license on 09/01/11.</p>			G 138			

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G 138	Continued From page 4 A. On 09/21/11, the ISDH received a letter from facility stating the DON position was vacant. Person A was appointed new Director of Nursing (DON) by the Board, but the resume does NOT reflect qualifications. A letter sent to facility 9/29/11 requested required documents. B. On 2/21/12 at 10:15 AM, Employee B arrived at ISDH to hand deliver notice of her resignation as Administrator and DON of Alpha Care.			G 138			
G 139	484.14(d) SUPERVISING PHYSICIAN OR REGIS. NURSE Services furnished are under the supervision and direction of a physician or a registered nurse (who preferably has at least one year of nursing experience and is a public health nurse). This person, or similarly qualified alternate, is available at all times during operating hours. This STANDARD is not met as evidenced by: Based on interview and review of Indiana State Department of Health (ISDH) documentation, the agency failed to ensure there was an alternate director of nursing for 1 of 1 agency with the potential to affect all the functioning of the agency. Findings include; 1. On 3-5-12 at 10:45 AM, the agency's owner indicated did not have an alternate director of nursing. He has an alternate director of nursing hired, but the employee has not started working at this agency. He had been in contact with Job			G 139			

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G 139	<p>Continued From page 5</p> <p>One in an attempt to fill these positions. He had not received much feedback from Job One. He was using word of mouth, but is not otherwise actively seeking to fill the positions. The former administrator / director of nursing resigned in October 2011 and the alternate director of nursing, employee B, was then promoted to the director of nursing position. The agency had been without an alternate director of nursing and will be until the new hire starts.</p> <p>2. ISDH documentation evidenced the agency was issued a full license on 09/01/11.</p> <p>A. On 10/19/11, the facility informed the ISDH they were searching for replacement of Alternate Director of Nursing.</p> <p>B. On 2/13/12 at 10:15 AM, Employee B called the ISDH in response to a request to call. When questioned whether the agency had an Alternate Director of Nursing in place, she indicated she could not answer as the owner would have to answer. She indicated the owner does all of the marketing and is involved in the decision making of the agency.</p> <p>C. On 2/17/12 at 11:30 AM, Employee B called and was informed that ISDH received information regarding Person B as the new alternate director of nursing. She indicated she had never met an individual by that name. The agency currently has no alternates.</p> <p>D. On 2/21/12 at 10:15 AM, Employee B arrived at ISDH to hand deliver notice of her resignation as Administrator and Director of Nursing of Alpha Care. She reiterated she was</p>			G 139			

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G 139	Continued From page 6 unaware of and did not appoint the alternate director of nursing that was sent in to ISDH.			G 139			